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PTO/SB/05 (12/97)

Approved for use through 09/30/00 OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 042390.P5379 Total Pages (all documents) 32

First Named Inventor or Application Identifier

Jerrold V. Hauck

Express Mail Label No. EM560651152US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**Assistant Commissioner for****Patents**  
ADDRESS TO: Box Patent Application  
Washington, DC 202311.  Fee Transmittal Form

(Submit an original, and a duplicate for fee processing)

2.  Specification Total Pages 14

(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims
- Abstract of the Disclosure

3.  Drawing(s) (35 USC 113) Total Sheets 54.  Oath of Declaration Total Pages 4

- a.  Newly executed (original or copy)
- b.  Copy from prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)

(Note Box 5 below)

i.  DELETION OF INVENTOR(S)

Signed statement attached deleting  
inventor(s) named in prior application,  
see 37 CFR 1.63(d)(2) and 1.33 (b).

5.  Incorporation By Reference (usable if Box 4b is checked)

The entire disclosure of the prior application, from  
which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the  
disclosure of the accompanying application and is  
hereby incorporated by reference therein.

6.  Microfiche Computer Program (Appendix)7.  Nucleotide &/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a.  Computer Readable Copy
- b.  Paper Copy (identical to computer copy)
- c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**8.  Assignment Papers (cover sheet & document(s))9.  37 CFR3.73(b) Statement  Power of Attorney  
(when there is an assignee)10.  English Translation Document (if applicable)11.  Information Disclosure  Copies of IDS  
Statement (IDS)/PTO-1449 Citations12.  Preliminary Amendment13.  Return Receipt Postcard (MPEP 503)14.  Small Entity  Statement filed in prior app  
Statement(s) Status still proper and desired15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)16.  Other: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

 Continuation  Divisional  Continuation-in-part (CIP) of prior application no:**18. CORRESPONDENCE ADDRESS****NAME:** Blakely, Sokoloff, Taylor & Zafman LLP**ADDRESS:** 12400 Wilshire Boulevard, 7th Floor**CITY:** Los Angeles**COUNTRY:** USA**STATE:** California**TELEPHONE:** (310)207-3800**ZIP:** 90025-1026**FAX:** (310)820-5988

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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**FEE TRANSMITTAL**

Note: Effective October 1, 1997

Patent fees are subject to annual revision

*Complete If Known*

Application Number

Filing Date

First Named Inventor **Jerrold V. Hauck**

Group Art Unit

Examiner Name

TOTAL AMOUNT OF PAYMENT

(\$912.00)

Attorney Docket No. **042390.P5379****METHOD OF PAYMENT (check one)****FEE CALCULATION (continued)**

1.  The Commissioner is hereby authorized to charge indicated fees & credit any overpayments to:

Acct # **02-2666**Acct Name **Blakely Sokoloff Taylor & Zafman**

Charge any add'l fee  Charge issue fee set in 37 CFR 1.18 at the required under 37 CFR 1.16 & 1.17

2.  Payment Enclosed:

Check  Money Order  Other

**3 Additional Fees**

Large Entity		Small Entity			
Code	Fee (\$)	Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge-late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within 1st month	
116	400	216	200	Extension for reply within 2nd month	
117	950	217	475	Extension for reply within 3rd month	
118	1510	218	755	Extension for reply within 4th month	
128	2060	228	1030	Extension for reply within 5th month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of appeal	
121	270	221	135	Request for oral hearing	
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to Revive-unavoidable	
141	1320	241	660	Petition to Revive-unintentional	
142	1320	242	660	Utility issue fee (or reissue)	
143	450	243	225	Design issue fee	
144	670	244	335	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of IDs	
581	40	581	40	Recording each patent assignment per property	
146	790	246	395	Filing a submission after final rejection	
149	790	249	395	For each add'l invention to be examined	
40.00					

**1. Filing Fee**

Large Entity	Small Entity	Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)
101	790	201	395
106	330	206	165
107	540	207	270
108	790	208	395
114	150	214	75
<b>Subtotal (1) (\$790.00)</b>			

**2. Claims**

*	Extra	Fee from Below	Fee Paid
Total Claims	11	20 -	-0-
Ind. Claims	4	3 -	1
Multiple Dependent Claims			

Large Entity		Small Entity	
Code	Fee (\$)	Code	Fee (\$)
103	22	203	11
102	82	202	41
104	270	204	135
109	82	209	41
110	22	210	11
<b>Subtotal (2) (\$82.00)</b>			

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**Subtotal (3) (\$40.00)****SUBMITTED BY****COMPLETE (if applicable)**

Name	Thomas M. Coester	Reg. Number	39,637
Signature	<i>Thomas Coester</i>	Date	4/10/98

\*Highest number of claims previously paid for if an amendment is being transmitted.

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